

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: P. Nick Lawrence, et al.
Serial No. 10/081,620
Filing Date: February 20, 2002
Group Unit: 2166
Title: SYSTEM AND METHOD FOR IDENTIFYING
RELATIONSHIPS BETWEEN DATABASE RECORDS

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Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

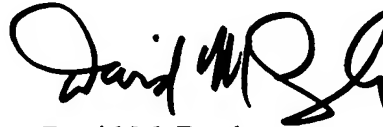
INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that a search has been made, that the references qualify as prior art, or that the references are material to the patentability of the present application.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of an Office Action on the merits. Pursuant to 37 C.F.R. § 1.97(b), Applicants believe that no fee is due. The Commissioner is authorized to charge any fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicants



David M. Doyle
Reg. No. 43, 596

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Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Information Disclosure Statement (2 pages), PTO Form 1449 (2 page), copies of references cited, Baker Botts return post card (1 post card) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 6th day of May, 2002 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles
Willie Jiles

Express Mail Receipt: EL 953697628 US
Attorney's Docket No.: 066300.0132

MAY 13 2002

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PTO-1449	Application No. 10/081,620		Applicant(s) P. Nick Lawrence, et al.	
	Docket Number 066300.0132	Group Art Unit 2166	Filing Date February 20, 2002	

**Information Disclosure Citation
In an Application**

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	4,625,242	11/25/86	Baumberg	358	209	04/24/85
	B	4,811,199	03/07/89	Kuechler, et al.	364	200	05/08/87
	C	4,945,421	07/31/90	Baumberg	358	217	07/14/88
	D	4,972,363	11/20/90	Nguyen, et al.	364	807	02/01/89
	E	5,093,900	03/03/92	Graf	395	24	02/13/91
	F	5,175,817	12/29/92	Adams, et al.	395	200	11/20/89
	G	5,208,900	05/04/93	Gardner	395	27	06/08/92
	H	5,237,678	08/17/93	Kuechler, et al.	395	600	03/02/89
	I	5,268,834	12/07/93	Sanner, et al.	364	151	06/24/91
	J	5,371,834	12/06/94	Tawel	395	23	08/28/92
	K	5,386,558	01/31/95	Maudlin, et al.	395	600	02/24/92
	L	5,438,646	08/01/95	Davidian	706	41	08/19/92

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	M	GB 2 206 428 A	01/05/89	United Kingdom	GO6F 15/31			
	N	0 378 115 A2	07/18/90	EPO	GO6F 15/80			
	O	WO 93/14459	07/22/93	PCT	G06F 15/16			

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	"XP-002111789" paper from internet at www.lt.com, Lawrence Technologies, 1996 (2 pages).	1996
	Q	"XP-002111790" paper from internet at www.lt.com, Lawrence Technologies, 1996 (3 pages).	1996
	R	International Search Report for International Application No. PCT/US 99/06028, Mailed 08/24/99.	1999

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,446,681	08/29/95	Gethner, et al.	702	27	09/02/94
	B	5,680,475	10/21/97	Zwierski, et al.	382	156	04/18/95
	C	5,822,741	10/13/98	Fischthal	706	16	02/05/96
	D	5,918,232	06/29/99	Pouschine, et al.	707	103	11/26/97
	E	6,026,397	02/15/00	Sheppard	707	5	05/22/96
	F	6,167,391	12/26/00	Lawrence	706	26	03/19/98
	G	5,551,027	08/27/96	Choy et al.	395	600	09/11/95
	H	5,675,786	10/07/97	McKee et al.	395	614	01/30/95
	I	5,752,019	05/12/98	Rigoutsos et al.	395	603	12/22/95
	J	5,799,301	08/25/98	Castelli et al.	707	6	08/10/95
	K	5,864,848	01/26/99	Horvitz et al.	707	6	01/31/97
	L	5,930,789	07/27/99	Agrawal et al.	707	6	08/28/97

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							YES	NO
	M							
	N							
	O							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	"The Corob Model: A New Computational Paradigm," Lawrence Technologies. (21 pages).	06/10/98
	Q	"Corobs and Neurophysiology: A White Paper," Lawrence Technologies. (15 pages).	05/26/98
	R	U.S. Patent Application Serial No. 09/938,948, "System and Methods for Generating String Correlithm Objects," filed by P. Nick Lawrence (Attorney Docket No. 066300.0125).	08/23/01

EXAMINER	DATE CONSIDERED
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PTO-1449

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P. Nick Lawrence, et al.

Docket Number
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Group Art Unit
2166

Filing Date
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U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,930,803	07/27/99	Becker et al.	707	104	04/30/97
B	5,940,825	08/17/99	Castelli et al.	707	6	10/4/96
C	5,960,194	09/28/99	Choy et al.	395	613	07/17/96
D	5,983,224	11/09/99	Singh et al.	707	6	10/31/97
E	6,009,424	12/28/99	Lepage et al.	707	6	09/03/97
F	6,044,366	03/28/00	Graffe et al.	707	2	03/16/98
G	6,085,226	07/04/00	Horvitz	709	203	01/15/98
H	6,134,555	10/17/00	Chadha et al.	707	102	02/09/98
I	6,236,985	05/22/01	Aggarwal et al.	707	2	70/07/98
J	6,263,334	07/17/01	Fayyad et al.	707	5	11/11/98
K	6,263,337	07/17/01	Fayyad et al.	707	6	05/22/98
L	6,269,376	07/31/01	Dhillon et al.	707	101	10/26/98

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NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
P	C.T. Yu, et al., "Adaptive Record Clustering," ACM Transactions on Database Systems, Vol. 10, No. 2, pp. 180-204.	06/85
Q	Autonomy Technology White Paper	2001
R	Autonomy web site, "Intellectual Foundations," http://www.autonomy.com/autonomy_v3/Content/Technology/Background/IntellectualFoundations , 2 pages	Printed 05/01/02

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